

# Programming Languages (8)

## Rust Memory Management

Kenjiro Taura

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Owning pointers

Assignments of owning pointers

`Box<T>` type

Borrowing pointers (`&T`)

Borrow checking details

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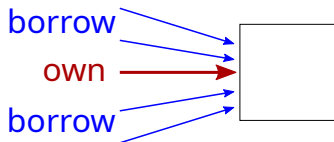
Borrow checking details

Summary

# Rust's basic idea to memory management

- ▶ Rust maintains that, for any live object,
  1. there is one and only one pointer that “owns” it (*the owner pointer*)
  2. “*multiple borrowers*” : there are arbitrary number of non-owning pointers (*borrowing pointers*) pointing to it, but *they cannot be dereferenced after the owning pointer goes away*
- ▶ ⇒ *it can safely reclaim the data when the owning pointer goes away*

“*single-owner-multiple-borrowers rule*”



# The rules are enforced statically

- ▶ Rust enforces the rules (or, detect violations thereof) *statically* (as opposed to *dynamically*)
  - ▶ *compile-time* rather than at *runtime*
  - ▶ *before* execution not *during* execution

*“borrow checker”*

# Ways outside the basic rules

to be sure, there are some ways to get around the rules

1. **reference counting pointers** ( $\approx$  multiple owning pointers)
  - ▶ counts the number of owners *at runtime*, and reclaim the data when all these pointers are gone
2. **unsafe/raw pointers** ( $\approx$  totally up to you)

they are not specific to Rust, and we'll not cover them in the rest of this slide deck

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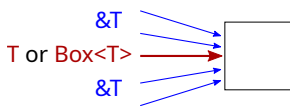
Summary

# Pointer-like data types in Rust

given a type  $T$  (`i32`, struct, enum, ...), below are types representing “references (pointers) to  $T$ ”<sup>1</sup>

1.  $T$  : **owning** pointer to  $T$
2. `Box<T>` (*box*  $T$ ) : **owning** pointer to  $T$
3.  $\&T$  (pronounced “*ref*  $T$ ”) : **borrowing pointer** to data of  $T$  (through which you cannot modify it)
4. `Rc<T>` and `Arc<T>` : shared (reference-counting) owning pointer to  $T$
5.  $*T$  : unsafe pointer to  $T$

*following discussions are focused on  $T$ , `Box<T>` and  $\&T$ .*



---

<sup>1</sup>we use pointers and references interchangeably



# Pointer-making expressions

given an expression  $e$  of type  $T$ , below are expressions that make pointers to the value of  $e$

1.  $e$  (of type  $T$ ) : an owning pointer
2. `Box::new( $e$ )` (of type `Box< $T$ >`) : an owning pointer
3. `& $e$`  (of type `& $T$` ) : a borrowing pointer

# An example

```
1 {  
2   let a: S      = S{x: ...}; // allocate memory for S  
3                                     // and make a owning pointer to it  
4   let b: S      = a;         // an owning pointer  
5   let c: Box<S> = Box::<S>::new(a); // an owning pointer  
6   let d: &S     = &a;        // a borrowing pointer  
7 }
```

- ▶ note: type of variables can be omitted (spelled out for clarity)
- ▶ note: the above program violates several rules so it does not compile

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# Assignments of owning pointers

- ▶ to maintain the “single-owner” rule, an assignment of owning pointers in Rust *does not copy, but moves it* out of the righthand side, disallowing further use of it

```
x = y;  
// y can no longer be used
```

- ▶ e.g.,

```
fn foo() {  
  let a = S{x: ..., y: ...};
```



```
}
```

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- ▶ e.g.,

```
fn foo() {  
  let a = S{x: ..., y: ...};  
  ... a.x ...; // OK, as expected  
  ... a.y ...; // OK, as expected  
}
```



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// y can no longer be used
```

- ▶ e.g.,

```
fn foo() {  
  let a = S{x: ..., y: ...};  
  ... a.x ...; // OK, as expected  
  ... a.y ...; // OK, as expected  
  // the reference moves out from a  
  let b = a;  
  
}
```



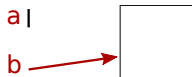
# Assignments of owning pointers

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// y can no longer be used
```

- ▶ e.g.,

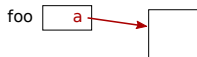
```
fn foo() {  
  let a = S{x: ..., y: ...};  
  ... a.x ...; // OK, as expected  
  ... a.y ...; // OK, as expected  
  // the reference moves out from a  
  let b = a;  
  a.x; // NG, the value has moved out  
  b.x; // OK  
}
```



# Argument-passing also moves the reference

- ▶ passing a value to a function also moves the reference out of the source

```
fn foo() {  
  let a = S{x: ..., y: ...};  
  ... a.x ...; // OK, as expected  
  ... a.y ...; // OK, as expected  
}
```

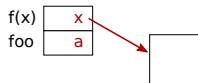




# Argument-passing also moves the reference

- ▶ passing a value to a function also moves the reference out of the source

```
fn foo() {  
  let a = S{x: ..., y: ...};  
  ... a.x ...; // OK, as expected  
  ... a.y ...; // OK, as expected  
  // moves the reference out of a  
  f(a);  
  a.x; // NG, the reference has moved  
}
```



# Exceptions to “assignment moves the reference”

- ▶ you may think the moving assignment

```
x = y;  
// y can no longer be used
```

contradicts what you have seen

- ▶ if it applies everywhere, does the following program violate it?

```
fn foo() -> f64 {  
    let a = 123.456;  
    // does the reference to 123.456 move out from a!?  
    let b = a;  
    a + 0.789 // if so, is this invalid!?  
}
```

- ▶ answer: no, it does *not* apply to primitive types like `i32`, `f64`, etc.
- ▶ a more general answer: it does not apply to data types that implement `Copy` trait

# Copy trait

- ▶ define your struct with `#[derive(Copy, Clone)]` like

```
1 #[derive(Copy, Clone)]  
2 struct S { ... }
```

- ▶ and assignment or argument-passing of `S` makes a copy of the righthand side

```
fn foo() {  
  let a = S{x: ..., y: ...};  
  a.x; // OK, as expected  
  a.y; // OK, as expected  
}
```



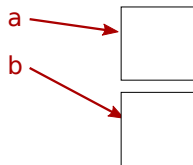
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```
1 #[derive(Copy, Clone)]  
2 struct S { ... }
```

- ▶ and assignment or argument-passing of `S` makes a copy of the righthand side

```
fn foo() {  
  let a = S{x: ..., y: ...};  
  a.x; // OK, as expected  
  a.y; // OK, as expected  
  // the value is copied  
  let b = a;  
}
```



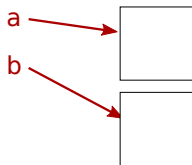
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- ▶ define your struct with `#[derive(Copy, Clone)]` like

```
1 #[derive(Copy, Clone)]  
2 struct S { ... }
```

- ▶ and assignment or argument-passing of `S` makes a copy of the righthand side

```
fn foo() {  
    let a = S{x: ..., y: ...};  
    a.x; // OK, as expected  
    a.y; // OK, as expected  
    // the value is copied  
    let b = a;  
    a.x; // OK  
    b.x; // OK, too  
}
```



# Copy types and the single-owner rule

- ▶ when a copy is made on every assignment or argument passing, the single-owner rule is trivially maintained
- ▶ below, we will only discuss types not implementing Copy trait (*non-Copy types*)

## Box<T> makes an owning pointer

- ▶ making a pointer by `Box::new(v)` moves the reference out of `v`, too, and `Box::new(v)` becomes the owning pointer

```
fn foo() {  
  let a = S{x: ..., y: ...};  
  a.x; // OK, as expected  
  a.y; // OK, as expected  
}
```



## Box<T> makes an owning pointer

- ▶ making a pointer by `Box::new(v)` moves the reference out of `v`, too, and `Box::new(v)` becomes the owning pointer

```
fn foo() {  
  let a = S{x: ..., y: ...};  
  a.x; // OK, as expected  
  a.y; // OK, as expected  
  // OK, now o is the owning pointer  
  let b = Box::new(a)  
  
}
```





## Box<T> makes an owning pointer

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```
fn foo() {  
  let a = S{x: ..., y: ...};  
  a.x; // OK, as expected  
  a.y; // OK, as expected  
  // OK, now o is the owning pointer  
  let b = Box::new(a)  
  a.x; // NG, the value has moved out  
}
```



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```
fn foo() {  
  let a = S{x: ..., y: ...};  
  a.x; // OK, as expected  
  a.y; // OK, as expected  
  // OK, now a is the owning pointer  
  let b = Box::new(a)  
  a.x; // NG, the value has moved out  
  (*b).x; // OK  
}
```



## Box<T> makes an owning pointer

- ▶ making a pointer by `Box::new(v)` moves the reference out of `v`, too, and `Box::new(v)` becomes the owning pointer

```
fn foo() {  
  let a = S{x: ..., y: ...};  
  a.x; // OK, as expected  
  a.y; // OK, as expected  
  // OK, now o is the owning pointer  
  let b = Box::new(a)  
  a.x; // NG, the value has moved out  
  (*b).x; // OK  
  b.x; // OK. abbreviation of (*b).x  
}
```



# Difference between $T$ and `Box<T>`?

- ▶ as you have seen, the effect of

```
1 let b = a;
```

and

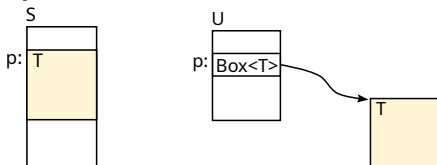
```
1 let b = Box::new(a);
```

look identical

- ▶ as far as data lifetime is concerned, it is in fact safe to say  $T$  and `Box<T>` are identical
- ▶ Rust have the distinction for
  - ▶ specifying data layout
  - ▶ specifying where data are allocated (stack vs. heap)

# Data layout differences between $T$ and $\text{Box}\langle T \rangle$

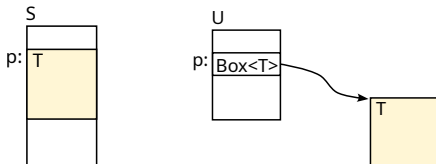
- ▶  $S$  and  $U$  below have different data layouts
  - ▶ `struct S { ..., p:T, }` “embeds” a  $T$  into  $S$
  - ▶ `struct U { ..., p:Box::<T>, }` has  $p$  point to a separately allocated  $T$



- ▶ in particular,  $\text{Box}\langle T \rangle$  is essential to define recursive data structures
  - ▶ `struct S { ..., p:S, }` is not allowed, whereas
  - ▶ `struct U { ..., p:Box<U>, }` is
- ▶ note:  $U$  above can never be constructed; a recursive data structure typically looks like `struct U { ..., p:Option<Box<U>>, }`

# Data layout differences between $T$ and $\text{Box}\langle T \rangle$

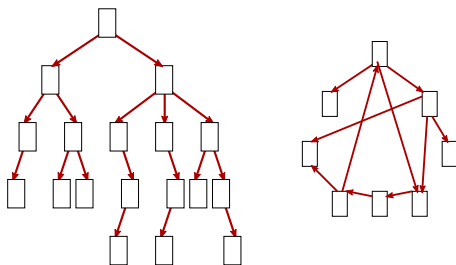
- ▶ the distinction is insignificant when discussing lifetimes



- ▶ in both cases, data of  $T$  (yellow box) is gone exactly when the enclosing structure is gone
- ▶ Rust spec also says it allocates  $T$  on stack and move it to heap when  $\text{Box}\langle T \rangle$  is made
- ▶ again, it has nothing to do with lifetime (unlike C/C++)

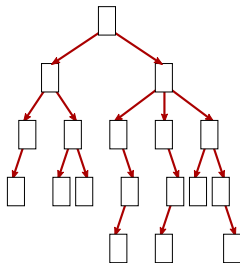
# A (huge) implication of the single-owner rule

- ▶ with only owning pointers ( $T$  and  $\text{Box}\langle T \rangle$ ),
  - ▶ you can make *a tree of  $T$* ,
  - ▶ but you *cannot make a general graph of  $T$*  (acyclic or cyclic), where *a node may be pointed to by multiple nodes*
- ▶ if you want to make a graph of  $T$ , you use either
  - ▶  $\&T$  to represent edges, or
  - ▶  $\text{Vec}\langle T \rangle$  to represent nodes and  $\text{Vec}\langle (\text{i32}, \text{i32}) \rangle$  to represent edges



# The (huge) implication to memory management

- ▶ if there are only owning pointers (i.e., no borrowing pointers)
- ▶ *whenever an owning pointer is gone* (e.g.,
  - ▶ a variable goes out of scope or
  - ▶ a variable or field is overwritten),*the entire tree rooted from the pointer can be safely reclaimed*





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- ▶ you can make any number of borrowing pointers to  $T$  ( $\&T$ ) from  $T$  or  $\text{Box}\langle T \rangle$
- ▶ both the owning pointer and borrowing pointers can be used at the same time

```
1 let a = S{x: .., y: ..};  
2 let b = &a;  
3 ... a.x + b.x ... // OK
```

- ▶ the issue is how to prevent a program from *dereferencing borrowing pointers after its owning pointer is gone*

# Borrowers rule in action

- ▶ a borrowing pointer cannot be dereferenced after its owning pointer is gone

```
fn foo() -> i32 {  
    let c: &S; // a reference to S  
    { // an inner block  
  
    }  
}
```

**c : &S**

# Borrowers rule in action

- ▶ a borrowing pointer cannot be dereferenced after its owning pointer is gone

```
fn foo() -> i32 {  
    let c: &S; // a reference to S  
    { // an inner block  
        let b: &S; // another reference  
  
    }  
}
```

c : &S

b : &S

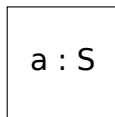
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- ▶ a borrowing pointer cannot be dereferenced after its owning pointer is gone

```
fn foo() -> i32 {  
  let c: &S; // a reference to S  
  { // an inner block  
    let b: &S; // another reference  
    let a = S{x: ...}; // allocate S  
  
  }  
  
}
```

c : &S

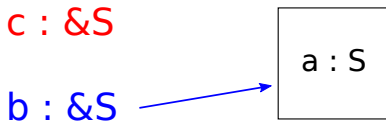
b : &S



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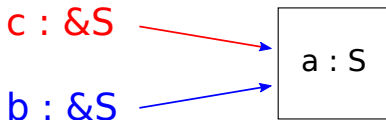
```
fn foo() -> i32 {  
  let c: &S; // a reference to S  
  { // an inner block  
    let b: &S; // another reference  
    let a = S{x: ...}; // allocate S  
    // OK (both a and b live only until the end of the inner block)  
    b = &a;  
  }  
}
```



# Borrowers rule in action

- ▶ a borrowing pointer cannot be dereferenced after its owning pointer is gone

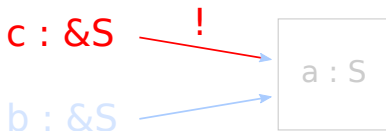
```
fn foo() -> i32 {  
  let c: &S; // a reference to S  
  { // an inner block  
    let b: &S; // another reference  
    let a = S{x: ...}; // allocate S  
    // OK (both a and b live only until the end of the inner block)  
    b = &a;  
    c = b; // dangerous (c outlives a)  
  }  
}
```



# Borrowers rule in action

- ▶ a borrowing pointer cannot be dereferenced after its owning pointer is gone

```
fn foo() -> i32 {  
  let c: &S; // a reference to S  
  { // an inner block  
    let b: &S; // another reference  
    let a = S{x: ...}; // allocate S  
    // OK (both a and b live only until the end of the inner block)  
    b = &a;  
    c = b; // dangerous (c outlives a)  
  } // a dies here, making c a dangling pointer  
}
```

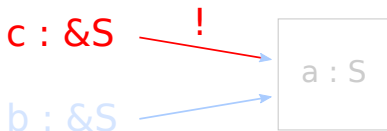




# Borrowers rule in action

- ▶ a borrowing pointer cannot be dereferenced after its owning pointer is gone

```
fn foo() -> i32 {  
  let c: &S; // a reference to S  
  { // an inner block  
    let b: &S; // another reference  
    let a = S{x: ...}; // allocate S  
    // OK (both a and b live only until the end of the inner block)  
    b = &a;  
    c = b; // dangerous (c outlives a)  
  } // a dies here, making c a dangling pointer  
  c.x // NG (deref a dangling pointer)  
}
```



# A *mutable* borrowing reference (`&mut T`)

- ▶ you cannot modify data of type  $T$  through ordinary borrowing references  $\&T$

```
1 let a : S = S{x: 10, y: 20};  
2 let b : &S = &a;  
3 b.x = 100; // NG
```

- ▶ they are *immutable* references
- ▶ you can modify data only through a *mutable reference* (`&mut T`)

```
1 let mut a : S = S{x: 10, y: 20};  
2 let b : &mut S = &mut a;  
3 b.x = 100; // OK
```

- ▶ the difference is largely orthogonal to memory management

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# A technical remark about borrowers rule

- ▶ it's *not a creation* of a dangling pointer, *per se*, that is not allowed, but *dereferencing* of it
- ▶ *a slightly modified code below compiles without an error*, despite that `c` becomes a dangling pointer to `a` (as it is not dereferenced past `a`'s lifetime)

```
fn foo() -> i32 {  
    let c: &S; // a reference to S  
    { // an inner block  
        let b: &S; // another reference  
        let a = S{x: ...}; // allocate S  
        // OK (both a and b live only until the end of the inner block)  
        b = &a;  
        c = b; // dangerous (c outlives a)  
    } // a dies here, making c a dangling pointer  
    // c.x don't deref c  
}
```

# A more precise statement of borrowers rule

1. for each borrowing reference ( $\&T$  or  $\&\text{mut } T$  type), Rust compiler determines *the lifetime of data it points to (referent lifetime)* as part of its static type

```
fn foo() -> i32 {  
    let c: &S; // → ??  
    {  
        let b: &S; // → ??  
        let a = S{x: ...};  
        b = &a;  
        c = b;  
    } // a dies here ( $\alpha$ )  
    c.x  
}
```

# A more precise statement of borrowers rule

1. for each borrowing reference ( $\&T$  or  $\&\text{mut } T$  type), Rust compiler determines *the lifetime of data it points to (referent lifetime)* as part of its static type
2. assignment between borrowing pointers ( $p = q$ ) equate their referent lifetimes

```
fn foo() -> i32 {  
    let c: &S; // → ??  
    {  
        let b: &S; // → ??  
        let a = S{x: ...};  
        b = &a;  
        c = b;  
    } // a dies here ( $\alpha$ )  
    c.x  
}
```

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```
fn foo() -> i32 {  
    let c: &S; // → ??  
    {  
        let b: &S; // →  $\alpha$   
        let a = S{x: ...}; // lives until  $\alpha$   
        b = &a; // b's referent lifetime = a's lifetime  
        c = b;  
    } // a dies here ( $\alpha$ )  
    c.x  
}
```

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```
fn foo() -> i32 {  
    let c: &S; //  $\rightarrow \alpha$   
    {  
        let b: &S; //  $\rightarrow \alpha$   
        let a = S{x: ...}; // lives until  $\alpha$   
        b = &a; // b's referent lifetime = a's lifetime  
        c = b; // c's referent lifetime = b's referent lifetime  
    } // a dies here ( $\alpha$ )  
    c.x  
}
```



# A more precise statement of borrowers rule

1. for each borrowing reference ( $\&T$  or  $\&\text{mut } T$  type), Rust compiler determines *the lifetime of data it points to (referent lifetime)* as part of its static type
2. assignment between borrowing pointers ( $p = q$ ) equate their referent lifetimes
3. dereferencing a borrowing pointer  $p$  (e.g.,  $p.x$ ) is allowed only within the  $p$ 's referent lifetime

```
fn foo() -> i32 {  
    let c: &S; //  $\rightarrow \alpha$   
    {  
        let b: &S; //  $\rightarrow \alpha$   
        let a = S{x: ...}; // lives until  $\alpha$   
        b = &a; // b's referent lifetime = a's lifetime  
        c = b; // c's referent lifetime = b's referent lifetime  
    } // a dies here ( $\alpha$ )  
    c.x  
}
```

# A more precise statement of borrowers rule

1. for each borrowing reference ( $\&T$  or  $\&\text{mut } T$  type), Rust compiler determines *the lifetime of data it points to (referent lifetime)* as part of its static type
2. assignment between borrowing pointers ( $p = q$ ) equate their referent lifetimes
3. dereferencing a borrowing pointer  $p$  (e.g.,  $p.x$ ) is allowed only within the  $p$ 's referent lifetime

```
fn foo() -> i32 {  
    let c: &S; //  $\rightarrow \alpha$   
    {  
        let b: &S; //  $\rightarrow \alpha$   
        let a = S{x: ...}; // lives until  $\alpha$   
        b = &a; // b's referent lifetime = a's lifetime  
        c = b; // c's referent lifetime = b's referent lifetime  
    } // a dies here ( $\alpha$ )  
    c.x // NG (deref outside c's referent lifetime =  $\alpha$ )  
}
```

# Programming with borrowing references

- ▶ programs using borrowing references must help compilers track their referent lifetimes
- ▶ this must be done for functions called from unknown places, function calls to unknown functions and data structures
- ▶ to this end, the programmer sometimes must annotate *reference types with their referent lifetimes*

# References in function parameters

- ▶ problem: how to check the validity of functions taking references

```
1 fn p_points_q(p: &mut P, q: &Q) {  
2   p.x = q; // OK?  
3 }
```

*without knowing all its callers, and function calls passing references*

```
1 let c = ...;  
2 {  
3   let a = Q{...};  
4   let b = &a;  
5   f(c, b);  
6 }  
7 ... c.x.y ... // OK?
```

*without knowing the definition of f?*

# References in function return values

- ▶ problem: how to check the validity of functions returning references

```
1 fn return_ref(...) -> &P {  
2     ...  
3     let p: &P = ...  
4     ...  
5     p // OK?  
6 }
```

*without knowing its all callers*, and function calls receiving references from function calls

```
1 fn receive_ref() {  
2     ...  
3     let p: &P = return_ref(...);  
4     ...  
5     p.x // OK?  
6 }
```

# References in data structures

- ▶ problem: how to check the validity of dereferencing a pointer obtained from a data structure

```
1 fn ref_from_struct() {  
2     ...  
3     let p: &P = a.p;  
4     ...  
5     p.x // OK?  
6 }
```

- ▶ what about functions taking data structures containing references and returning another containing references, etc.?

# Reference type with a lifetime parameter

- ▶ to address this problem, Rust's borrowing reference types ( $\&T$  or  $\&\text{mut } T$ ) carry *lifetime parameter* representing their referent lifetimes
- ▶ syntax:
  - ▶  $\&'a T$  : reference to “ $T$  whose lifetime is  $'a$ ”
  - ▶  $\&'a \text{ mut } T$  : ditto; except you can modify data through it

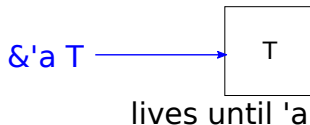


lives until 'a

- ▶ *every* reference carries a lifetime parameter, though there are places you can omit them
- ▶ roughly, you must write them explicitly in function parameters, return types, and struct/enum fields; and can omit them for local variables

# Reference type with a lifetime parameter

- ▶ to address this problem, Rust's borrowing reference types ( $\&T$  or  $\&\text{mut } T$ ) carry *lifetime parameter* representing their referent lifetimes
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- ▶ *every* reference carries a lifetime parameter, though there are places you can omit them
- ▶ roughly, you must write them explicitly in function parameters, return types, and struct/enum fields; and can omit them for local variables



# Attaching lifetime parameters to functions

- ▶ the following does not compile

```
1 fn foo(ra: &i32, rb: &i32, rc: &i32) -> &i32 {  
2     ra  
3 }
```

- ▶ with errors like

```
1 |  
2 | fn foo(ra: &i32, rb: &i32, rc: &i32) -> &i32 {  
3 | |           ----      ----      ----      ^ expected named lifetime parameter  
4 | |  
5 | = help: this function's return type contains a borrowed value, but the signature does not  
6 |   say whether it is borrowed from 'ra', 'rb', or 'rc'  
7 | help: consider introducing a named lifetime parameter  
8 | |  
9 | | fn foo<'a>(ra: &'a i32, rb: &'a i32, rc: &'a i32) -> &'a i32 {  
   | |           ++++      ++           ++           ++           ++
```

# Why do we need an annotation, *fundamentally*?

- ▶ without any annotation, how to know whether this is safe, *without knowing the definition of foo?*

```
1 {  
2   let r : &i32;  
3   let a = 123;  
4   {  
5     let b = 456;  
6     {  
7       let c = 789;  
8       r = foo(&a, &b, &c);  
9     }  
10  }  
11  *r  
12 }
```

- ▶ essentially, the compiler complains “tell me what kind of lifetime `foo(&a, &b, &c)` has”

# Attaching lifetime parameters to functions

- ▶ syntax:

```
1 fn f<'a, 'b, 'c, ...>(p0 : T0, p1 : T1, ...) -> Tr { ... }
```

$T_0, T_1, \dots$  and  $T_r$  may use `'a`, `'b`, `'c`, ... as lifetime parameters (e.g., `&'a i32`)

- ▶ `f<'a, 'b, 'c, ...>` is a function that takes parameters of respective lifetimes

# One way to attach lifetime parameters

```
1 fn foo<'a>(ra: &'a i32, rb: &'a i32, rc: &'a i32) -> &'a i32
```

- ▶ effect: the return value is assumed to point to the shortest of the three
- ▶ why? generally, when Rust compiler finds `foo(x, y, z)`, it tries to determine `'a` so that it is contained in the lifetime of all  $(x, y$  and  $z)$
- ▶ as a result, our program does not compile, even if `foo(&a, &b, &c)` in fact returns `&a`

```
1 {  
2   let r: &i32;  
3   let a = 123;  
4   {  
5     let b = 456;  
6     {  
7       let c = 789;  
8       r = foo(&a, &b, &c); // 'a ← shortest of { $\alpha, \beta, \gamma$ } =  $\gamma$   
9       // and r's type becomes  $\&\gamma$  i32  
10      } // c's lifetime (=  $\gamma$ ) ends here  
11     } // b's lifetime (=  $\beta$ ) ends here  
12     *r // NG, as we are outside  $\gamma$   
13   } // a's lifetime (=  $\alpha$ ) ends here
```

# An alternative

```
1 fn foo<'a, 'b, 'c>(ra: &'a i32, rb: &'b i32, rc: &'c i32) -> &'a i32
```

- ▶ signifies that the return value points to data whose lifetime is `ra`'s referent lifetime (and has nothing to do with `rb`'s or `rc`'s)
- ▶ for `foo(x, y, z)`, Rust compiler tries to determine `'a` so it is contained in the lifetime of `x`'s referent (therefore `'a =  $\alpha$` )
- ▶ as a result, the program we are discussing compiles

```
1 {  
2   let r: &i32;  
3   let a = 123;  
4   {  
5     let b = 456;  
6     {  
7       let c = 789;  
8       r = foo(&a, &b, &c); // 'a → shortest of { $\alpha$ } =  $\alpha$   
9       // and r's type becomes & $\alpha$  i32  
10      } // c's lifetime (=  $\gamma$ ) ends here  
11     } // b's lifetime (=  $\beta$ ) ends here  
12     *r // OK, as here is within  $\alpha$   
13   } // a's lifetime (=  $\alpha$ ) ends here
```

# Types with lifetime parameters capture/constrain the function's behavior

- ▶ what if you try to fool the compiler by

```
1 fn foo<'a, 'b, 'c>(ra: &'a i32, rb: &'b i32, rc: &'c i32) -> &'a i32
2     rb
3 }
```

- ▶ the compiler rejects returning `rb` (of type `&'b`) when the function's return type is `&'a`
- ▶ in general, *the compiler allows assignments only between references having the same lifetime parameter*

# Another example (make a reference between inputs)

- ▶ what if we rewrite

```
1 r = foo(&a, &b, &c);
```

into

```
1 bar(&mut r, &a, &b, &c);
```

with bar something like

```
1 fn bar(r: &mut &i32, a: &i32, b: &i32, c: &i32) {  
2   *r = a;  
3 }
```

# Make a reference between inputs

- ▶ how to specify lifetime parameters so that
  1. `*r = a;` in `bar`'s definition is allowed, and
  2. we can dereference `*r` at the end of the caller?

```
1 {  
2   let a = 123;  
3   let mut r = &0;  
4   {  
5     let b = 456;  
6     {  
7       let c = 789;  
8       bar(&mut r, &a, &b, &c); // r → ???  
9     } // c's lifetime (=  $\gamma$ ) ends here  
10  } // b's lifetime (=  $\beta$ ) ends here  
11  *r // OK???  
12 } // a's lifetime (=  $\alpha$ ) ends here
```



# Answer

- ▶ again, we need to signify `r` points to `a` (and not `b` or `c` after `bar(&r, &a, &b, &c)`)
- ▶ a working lifetime parameter is the following

```
1 fn bar<'a,'b,'c>(r: &mut &'a i32, a: &'a i32,  
2                   b: &'b i32, c: &'c i32) {  
3     *r = a;  
4 }
```

# References in data structures

- ▶ problem: how to check the validity of programs using data structure containing a borrowing reference

```
1 struct R {  
2     p: &i32  
3     ...  
4 }
```

and functions returning R

```
1 fn ret_r(a: &i32, b: &i32, c: &i32) -> R {  
2     R{p: a}  
3 }
```

or taking R (or reference to it)

```
1 fn take_r(r: &mut R, a: &i32, b: &i32, c: &i32) {  
2     r.p = a;  
3 }
```

# References in data structures

- ▶ you cannot simply have a field of type  $\&T$  in struct/enum like this

```
1 struct R {  
2     p: &i32  
3     ...  
4 }
```

- ▶ you need to specify the lifetime parameter of `p`, and let `R` take the lifetime parameter

```
1 struct R<'a> {  
2     p: &'a i32  
3     ...  
4 }
```

- ▶ `R<'a>` represents *R whose p field points i32 whose lifetime is 'a*
- ▶ this way, a structure containing borrowing references exposes their referent lifetimes to its user

# Attaching lifetime parameters to data structure

- ▶ say we like to have data structures

```
1 struct T { x: i32 }  
2 struct S { p: &T }
```

and a function

```
1 fn make_s(a: &T, b: &T) -> S { S{p: a} }
```

so that the following compiles

```
1 let s;  
2 let a = T{...};  
3 {  
4     let b = T{...};  
5     s = make_s(&a, &b);  
6 }  
7 s.p.x
```

- ▶ the compiler needs to verify `s.p` points to `a`, not `b`
- ▶ we have to signify that by appropriate lifetime parameters

# Answer

- ▶ define `S<'a>` so
  - ▶ its `p`'s referent lifetime is `'a`

```
1 struct S<'a> { p: &'a T }
```

- ▶ define `make_s` so it returns `S<'a>` where `'a` is the referent lifetime of its *first* parameter

```
1 fn make_s(a: &'a T, b: &'b T) -> S<'a> {  
2     S{p: a}  
3 }
```

# A more complex example Rust cannot verify

- ▶ say we now have data structures

```
1 struct T { x: i32 }
2 struct S {
3     p: &T,
4     q: &T
5 }
```

and a function

```
1 fn make_s(a: &T, b: &T) -> S { S{p: a, q: b} }
```

so that the following compiles

```
1 let s;
2 let a = T{...};
3 {
4     let b = T{...};
5     s = make_s(&a, &b);
6 }
7 s.p.x
```

- ▶ again, the compiler needs to verify `s.p` points to `a`, not `b`

# Answer that I thought should work but doesn't

- ▶ define `S` so
  - ▶ its `p` points to `T` of lifetime `'a` and
  - ▶ its `q` points to `T` of lifetime `'b`

```
1 struct S<'a, 'b> {  
2   p: &'a T,  
3   q: &'b T  
4 }
```

- ▶ define `make_s` so it returns `S<'a, 'b>` where `'a` is the lifetime of its first parameter, like

```
1 fn make_s(a: &'a T, b: &'b T) -> S<'a, 'b> {  
2   S{p: a, q: b}  
3 }
```

# The compiler complains

```
1 [E0597] Error: 'b' does not live long enough
2   [command_36:1:1]
3   16 |     s = make_s(&a, &b);
4       |         ----
5       |         +---- borrowed value does not live long enough
6   17 | }
7       | _
8       | +---- 'b' dropped here while still borrowed
9   18 | s.p.x
10      | -----
11      | +----- borrow later used here
12
```

- ▶ I don't know what is the exact spec of Rust that rejects this program, but it is apparently that Rust disallows *dereference of any struct any lifetime parameter of which is invalid at the point of dereference*
- ▶ in this example, `s : S<'a, 'b>` and one of its lifetime parameters (`'b`) is invalid at line 18



# Contents

Overview

Rust basics

Owning pointers

Assignments of owning pointers

Box< $T$ > type

Borrowing pointers ( $\&T$ )

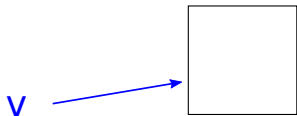
Borrow checking details

Summary

# Why memory management is difficult

- ▶ every language wants to prevent *dereferencing a pointer to an already-reclaimed memory block (dangling pointer)*

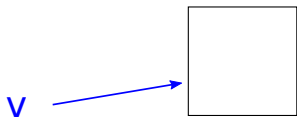
```
{  
  let v = T{x: ...};  
  ...  
}
```



# Why memory management is difficult

- ▶ every language wants to prevent *dereferencing a pointer to an already-reclaimed memory block (dangling pointer)*
- ▶ the problem would have been trivial if *you could reclaim v's referent as soon as v goes out of scope*

```
{  
  let v = T{x: ...};  
  ...  
}
```



# Why memory management is difficult

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- ▶ the problem would have been trivial if *you could reclaim v's referent as soon as v goes out of scope*

```
{  
  let v = T{x: ...};  
  ...  
} // OK to drop v's referent here?
```



# Why memory management is difficult

- ▶ every language wants to prevent *dereferencing a pointer to an already-reclaimed memory block (dangling pointer)*
- ▶ the problem would have been trivial if *you could reclaim  $v$ 's referent as soon as  $v$  goes out of scope*
- ▶ this is not the case, as  *$v$ 's referent may still be reachable from other variables when  $v$  goes out of scope*

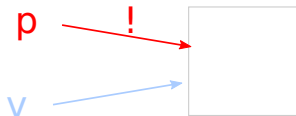
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{  
  let v = T{x: ...};  
  ...  
}
```



# Why memory management is difficult

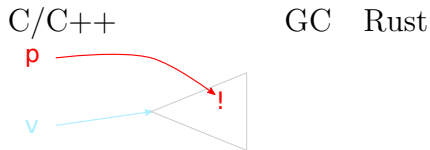
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- ▶ this is not the case, as *v's referent may still be reachable from other variables when v goes out of scope*

```
let p : &T;  
{  
  let v = T{x: ...};  
  ...  
  p = &v;  
} // v never used below, but its referent is  
... p.x ...
```



# C vs. GC vs. Rust

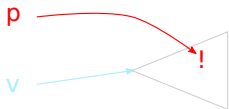
- ▶ C/C++ : it's up to you



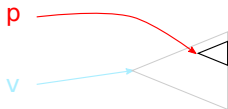
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C/C++



GC



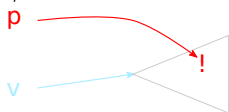
Rust



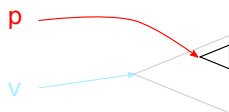
# C vs. GC vs. Rust

- ▶ C/C++ : it's up to you
- ▶ GC : if it is reachable from other variables, I retain it for you
- ▶ Rust : when  $v$  goes out of scope,
  1. I reclaim  $T_v$ , all data *reachable from  $v$  through owning pointers*
  2.  $T_v$  may be reachable from other variables via borrowing references, but I guarantee such references are never dereferenced

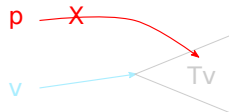
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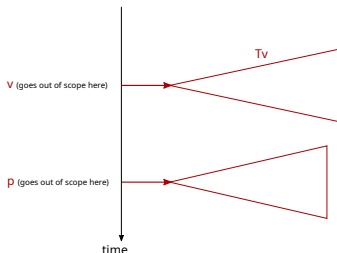


Rust



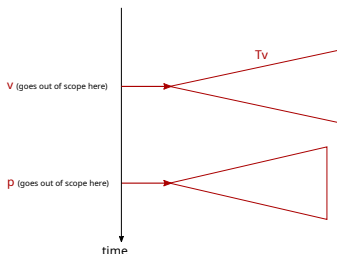
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- ▶ say two data structures  $T_v$  rooted at variable  $v$  and  $T_p$  rooted at variable  $p$



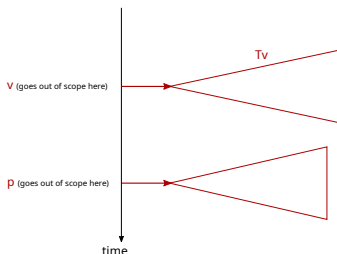
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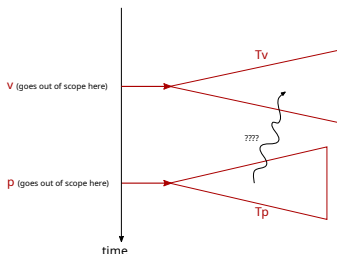
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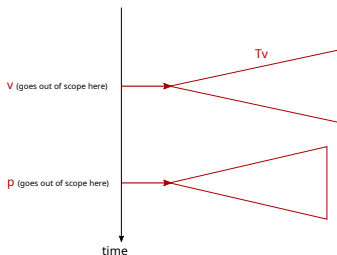
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- ▶ assume  $v$  goes out of scope earlier than  $p$
- ▶ we wish to guarantee when  $v$  goes out of scope, it is safe to reclaim the entire  $T_v$
- ▶ generally it is of course not the case, as there may be pointers somewhere in  $T_p \rightarrow$  somewhere in  $T_v$



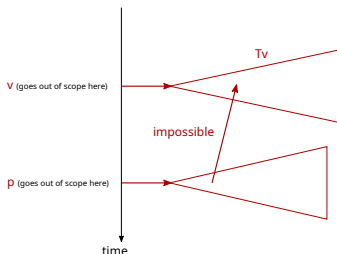
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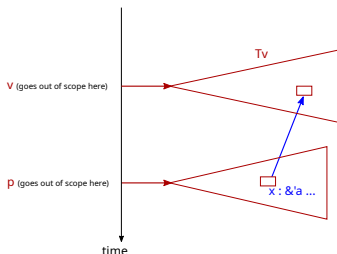
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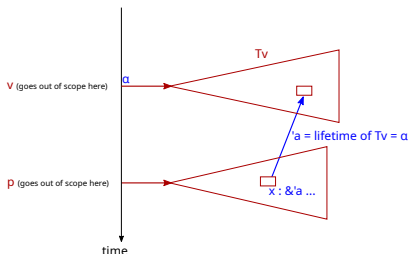
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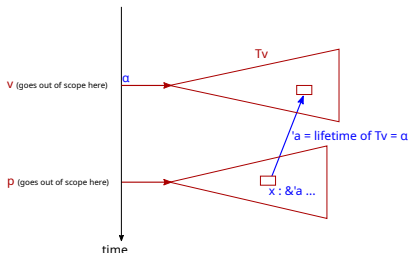
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- ▶  $\Rightarrow$  there can be no *owning* pointers from outside  $T_v$  to inside  $T_v$
- ▶  $\Rightarrow$  any such pointer must be a borrowing pointer
- ▶ crucially, a borrowing pointer must have a lifetime parameter (lifetime of the referent); say 'a



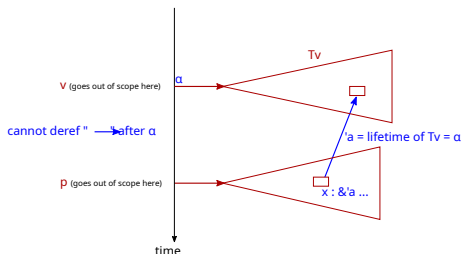
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# How Rust achieved it?

- ▶ any structure containing borrowing pointers must carry these parameters too, as part of its type (e.g.,  $S<'a>$ )
- ▶ assignment to such borrowing pointers determines  $'a$  to end when the righthand side goes out of scope ( $\alpha$  in the figure)



# How Rust achieved it?

- ▶ any structure containing borrowing pointers must carry these parameters too, as part of its type (e.g.,  $S\langle'a\rangle$ )
- ▶ assignment to such borrowing pointers determines  $'a$  to end when the righthand side goes out of scope ( $\alpha$  in the figure)
- ▶ by  $'a = \alpha$ , the containing data structure ( $T_p$ , of type  $S\langle'a\rangle$ ) cannot be dereferenced

