

Programming Languages (1)

Functional Programming

Kenjiro Taura

What is functional programming? 1st attempt

- ▶ Wikipedia:
...functional programming is a programming paradigm where programs are constructed by applying and composing functions.
- ▶ ..., well, I am almost always writing and applying functions, ...

What is functional programming? 2nd attempt

- ▶ ask ChatGPT: describe the most important aspect of functional programming in less than 100 words.

*Functional programming's essence lies in treating computation as the evaluation of mathematical functions. It emphasizes **immutability**, pure functions, and higher-order functions, enabling clearer, more concise, and maintainable code. By **avoiding mutable state and side effects**, it enhances modularity and facilitates reasoning about program behavior.*

- ▶ is it about “**avoiding side effects**”, or more generally **avoiding certain tools that you have been taught and are now familiar with**?
- ▶ if so, why you care?

What is functional programming? My take

- ▶ it is a “way of thinking” when you solve a problem
- ▶ it formulates the solution to the problem using *recursion*
- ▶ let's dig into it using an example

An example

- ▶ Q: write a function that computes the sum of elements in an array
- ▶ A: a “procedural” Python program

```
1 def sum_array(a):  
2     n = len(a)  
3     s = 0  
4     for i in range(n):  
5         s = s + a[i]  
6     return s
```

Thinking behind the procedural version

- ▶ well, to compute $a[0] + a[1] + \dots + a[n-1]$,
- ▶ start with $s = 0$, and
- ▶ $s = s + a[0]$
- ▶ $s = s + a[1]$
- ▶ ...
- ▶ $s = s + a[n-1]$
- ▶ now s should hold what we want

remember how you overcame the following confusing “equation”?

```
i s = s + a[i] # do you mean 0 = a[i] ??
```

A “functional” version

```
1 # a[i] + a[i+1] + ... + a[j-1]
2 def sum_range(a, i, j):
3     if i == j:
4         return 0
5     else:
6         return a[i] + sum_range(a, i + 1, j)
7
8 def sum_array(a):
9     return sum_range(a, 0, len(a))
```

A (superficial) characteristics of the “functional” version

- ▶ *no updates* to variables (like $\mathbf{s} = \mathbf{s} + \dots$)
- ▶ no loops

but the point is not about *avoiding* them

The thinking behind the functional version

- ▶ the observation

$$\text{sum of } a[0:n] = a[0] + \text{sum of } a[1:n]$$

- ▶ ...and you can compute “sum of $a[1:n]$ ” (almost) by a recursive call
- ▶ to be precise, you define a function to compute sum of an array range $a[i:j]$ by

$$\text{sum of } a[i:j] = a[i] + \text{sum of } a[i+1:j]$$

- ▶ one more thing is the base case (when $i = j$, sum is zero)

Note : a few more alternatives



sum of $a[i:j] = \text{sum of } a[i:j-1] + a[j-1]$



sum of $a[i:j] = \text{sum of } a[i:c] + a[c:j]$

where $c = \lfloor (i + j)/2 \rfloor$, or any value that satisfies $i < c < j$, for that matter

```
1 def sum_range(a, i, j):
2     if i == j:
3         return 0
4     elif i + 1 == j:
5         return a[i]
6     else:
7         c = (i + j) // 2
8         return sum_range(a, i, c) + sum_range(a, c, j)
```

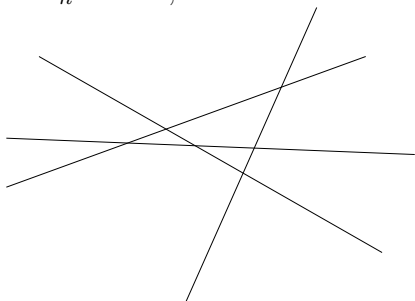
The “functional way” of problem solving

- ▶ \approx solving a problem by recursive calls
- ▶ \approx solving a problem by assuming solutions to “smaller” cases are known

this is very powerful because of the same reason why solving math problems using *recurrence relation* (漸化式) is very powerful

Solving problems with recurrence relation : an example

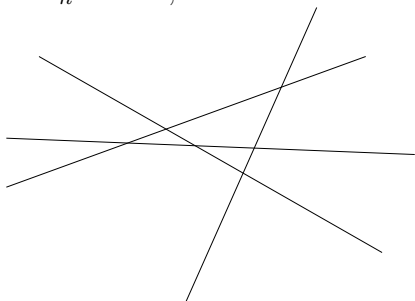
- ▶ Q: Draw n lines in a plane, in such a way that no three lines intersect at a point. How many regions do they divide the plane into?
- ▶ A: Let the number of regions a_n . Then,



Solving problems with recurrence relation : an example

- ▶ Q: Draw n lines in a plane, in such a way that no three lines intersect at a point. How many regions do they divide the plane into?
- ▶ A: Let the number of regions a_n . Then,

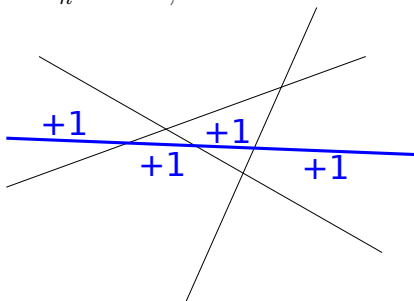
$$a_0 = 1,$$



Solving problems with recurrence relation : an example

- ▶ Q: Draw n lines in a plane, in such a way that no three lines intersect at a point. How many regions do they divide the plane into?
- ▶ A: Let the number of regions a_n . Then,

$$\begin{cases} a_0 = 1, \\ a_n = a_{n-1} + n \end{cases}$$



The functional thinking

1. say you are asked to find an answer to a problem (e.g., $f(n)$ or $g(a)$)
 2. try to answer it, *assuming the answer to “smaller cases” are known*
 3. express it using recursions
- ▶ what “smaller” exactly means depends on the problem
 - ▶ smaller integers (e.g., $n - 1$, $n/2$, etc.)
 - ▶ smaller arrays (e.g., $a[0 : n - 1]$, $a[1 : n]$, $a[0 : n/2]$, numbers in a less than x , etc.)
 - ▶ a child of a tree node
 - ▶ etc.

The divide-and-conquer paradigm

- ▶ a similarly powerful paradigm is the “divide-and-conquer” problem solving
- ▶ given an input X
- ▶ somehow “*divide*” X into smaller instances X_0, X_1, \dots
- ▶ solve each of them using a recursion
- ▶ somehow “*merge*” them into the solution to X

One more example

- ▶ Q: define a function that, given a and n , computes a^n
 - ▶ note: Python has a builtin primitive ($a ** n$) or `pow` that just does that, but here we define it without them
- ▶ A: the “procedural” version

```
1 def pow(a, n):  
2     p = 1  
3     for i in range(n):  
4         p = p * a  
5     return p
```

- ▶ this expresses *how* you compute a^n , step by step

A functional version

- ▶ instead ask “what is” a^n
- ▶ well,
 - ▶ base case: $n = 0 \Rightarrow 1$
 - ▶ otherwise, $a^n = a * a^{n-1}$

```
1 def pow(a, n):  
2     if n == 0:  
3         return 1  
4     else:  
5         return a * pow(a, n - 1)
```

A smarter version

```
1 def pow(a, n):
2     if n == 0:
3         return 1
4     elif n % 2 == 0:
5         p = pow(a, n // 2)
6         return p * p
7     else:
8         return a * pow(a, n - 1)
```