

Programming Languages (4)

Memory Management Introduction

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- 1 Introduction
- 2 Manual Memory Management in C/C++
- 3 Garbage Collection (GC) : A Brief Introduction
 - Basics and Terminologies
 - Two basic methods
 - Traversing GC
 - Reference Counting

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Memory management in programming languages

- all values (integers, floating point numbers, strings, arrays, structs, ...) need memory to hold them
- ideally, programming languages manage them on behalf of the programmer
- three approaches covered

manual		C, C++
garbage collection	traversing reference counting	Python, Java, Julia, Go, OCaml, etc.
Rust ownership		Rust

Memory Management Quiz

take the quiz via any of the following

- [direct link](#)
- go [menti.com](#) and enter code **4574 1905**
- use this QR Code



Illustration (Q2)

```
def foo():  
    m = node("Mimura")  
    o = node("Ohtake")  
    o.friend = m  
    return o
```

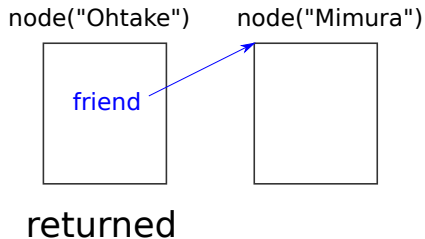
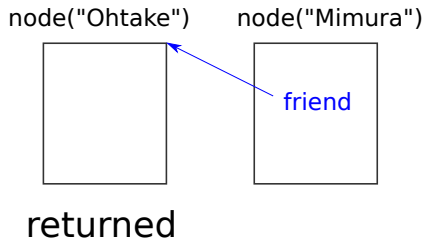


Illustration (Q3)

```
def foo():  
    m = node("Mimura")  
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Memory allocation in C/C++

- 1 Global variables/arrays
- 2 Local variables/arrays
- 3 Heap

```
1 int g; int ga[10];
2 int foo() {
3     int l; int la[10];
4     int * a = &g;
5     int * b = ga;
6     int * c = &l;
7     int * d = la;
8     int * e = malloc(sizeof(int));
9 }
```

- lifetime

	starts	ends
global	when the program starts	when program ends
local	when a block starts	when a block ends
heap	malloc, new	free, delete

- note: the following discussion calls all of them *objects*

What could go wrong in manual memory management (e.g., C/C++)?

- heap-allocated (i.e., `new/malloc`'ed) memory must be `delete/freed` at the right spot
 - ▶ *premature free* = using it after `delete/free` → memory corruption
 - ▶ *memory leak* = not `delete/freeing` no-longer-used memory → (eventually) out of memory

```
1 node * foo() {  
2   node * m = new node("Mimura");  
3   node * o = new node("Ohtake");  
4   return o;  
5 }
```

What could go wrong in manual memory management (e.g., C/C++)?

- stack-allocated memory are automatically reclaimed when it goes out of scope
 - ▶ using it afterwards \equiv premature delete

```
1 node * foo() {  
2   node m = node("Mimura");  
3   node o = node("Ohtake");  
4   return &o;  
5 }
```

```
1 node * foo() {  
2   node m = node("Mimura");  
3   node * o = new node("Ohtake");  
4   o->frien = &m;  
5   return o;  
6 }
```

Tools to make C/C++ memory management safer

- `valgrind` (memory checker)
 - ▶ detect memory-related errors (use after free, memory leak, out of bound accesses, etc.)
- Boehm garbage collection library for C/C++
 - ▶ automatically garbage-collect memory blocks allocated by `malloc/new`

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Garbage Collection (GC)

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 - ▶ you may access an object after its lifetime
 - ▶ you may not free an object despite you no longer access it

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- ⇒ Garbage collection (GC)
 - ▶ keep objects alive if they could ever be accessed in future and reclaim otherwise
 - ▶ the system automatically does that
 - ▶ ⇒ eliminate memory leak and corruption

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- ⇒ Garbage collection (GC)
 - ▶ keep objects alive if they could ever be accessed in future and reclaim otherwise
 - ▶ the system automatically does that
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- the question: how does the system know *which objects may be accessed in future?*

Objects that may {ever/never} be accessed

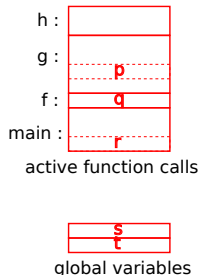
- the precise judgment is undecidable
- (at the start of line 2) “the object pointed to by p will ever be accessed” \iff “ $f(x)$ will terminate and return 0” \rightarrow you need to be able to solve the halting problem...

```
1 int main() {  
2     if (f(x) == 0) {  
3         printf("%d\n", p->f->x);  
4     }  
5 }
```
- \rightarrow *conservatively* estimate objects that *may be* accessed in future
 - ▶ **NEVER** reclaim those that are accessed
 - ▶ **OK** not to reclaim those that are in fact never accessed
- in the above example, OK to retain objects pointed to by p when the line 2 is about to start

Objects that “may be” accessed

- global variables
- local variables of active function calls (calls that have started but have not finished)

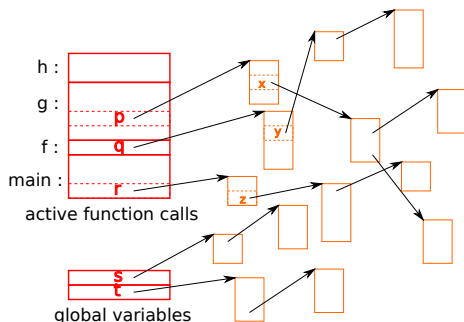
```
1 int * s, * t;  
2 void h() { ... }  
3 void g() {  
4     ...  
5     h();  
6     ... = p->x ... }  
7 void f() {  
8     ...  
9     g()  
10    ... = q->y ... }  
11 int main() {  
12    ...  
13    f()  
14    ... = r->z ... }
```



Objects that “may be” accessed

- global variables
- local variables of active function calls (calls that have started but have not finished)
- objects reachable from them by traversing pointers

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1 int * s, * t;  
2 void h() { ... }  
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The basic workings (and terminologies) of GC

- **an object**: the unit of automatic memory allocation/release (malloc in C; objects in Java; etc.)

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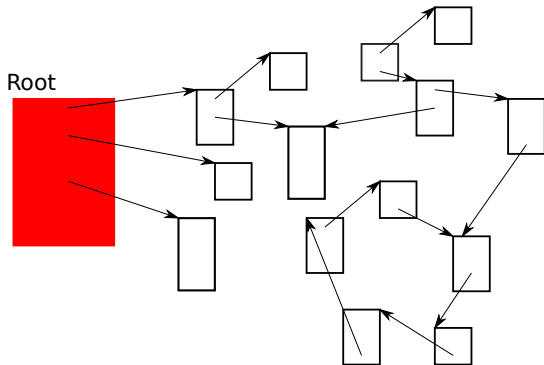
the basic principle of GC:
objects unreachable from the root are dead

The two major GC methods

- traversing GC:
 - ▶ simply traverse pointers from the root, to find (or *visit*) objects **reachable from the root**
 - ▶ **reclaim objects not visited**
 - ▶ two basic traversing methods
 - ★ mark&sweep GC
 - ★ copying GC
- reference counting GC (or RC):
 - ▶ during execution, **maintain the number of pointers (reference count)** pointing to each object
 - ▶ **reclaim an object when its reference count drops to zero**
 - ▶ note: an object's reference count is zero → it's unreachable from the root
- remark: “GC” sometimes narrowly refers to traversing GC

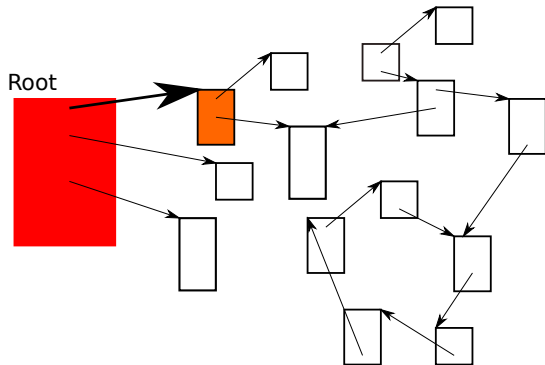
How traversing GC works

- traverse pointers from the root
- once all pointers have been traversed, objects that have not been visited are garbage
- the difference between mark&sweep and copying is covered later



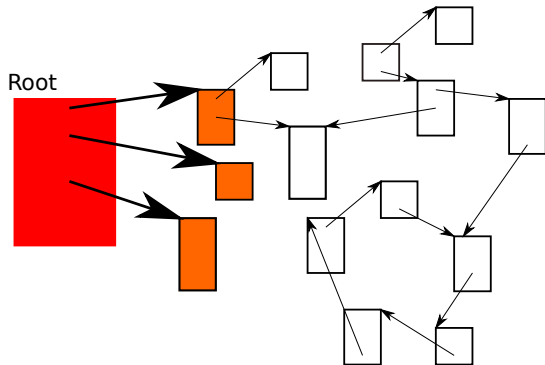
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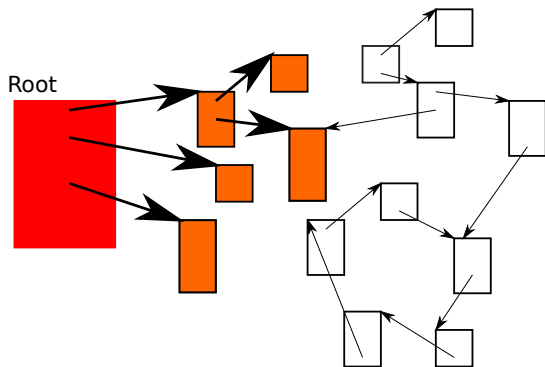
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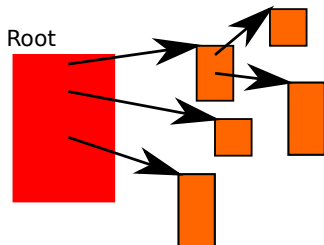
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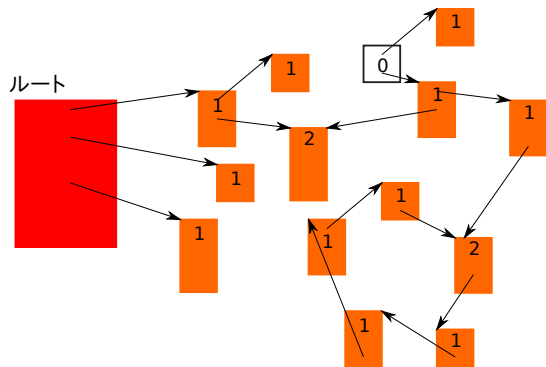
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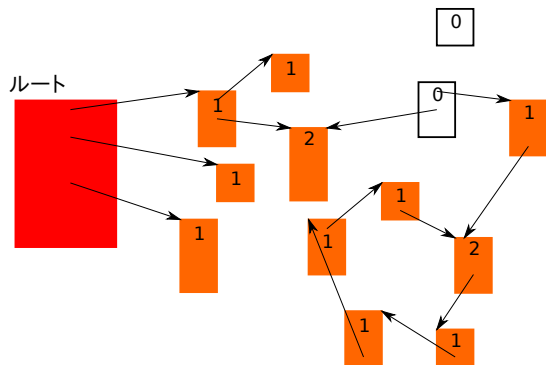
How reference counting works

- each object has a reference count (RC)
- update RCs during execution; e.g., upon $p = q$; \rightarrow
 - ▶ the RC of the object p points to $-- 1$
 - ▶ the RC of the object q points to $+= 1$
- reclaim an object when its RC drops to zero \rightarrow RCs of objects pointed to by the now reclaimed object decrease



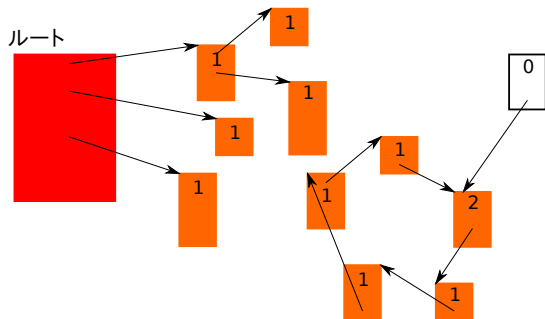
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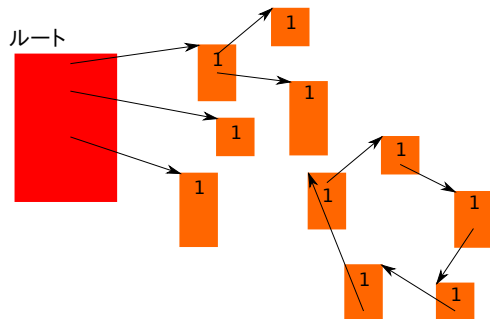
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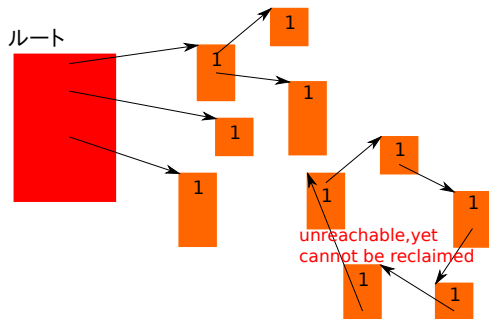
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When an RC changes

- a pointer is updated `p = q; p->f = q;` etc.
- a function gets called

```
1 int main() {  
2     object * q = ...;  
3     f(q);  
4 }
```

- a variable goes out of scope or a function returns

```
1 f(object * p) {  
2     ...  
3     {  
4         object * r = ...;  
5  
6     } /* RC of r should decrease */  
7     ...  
8     return ...; /* RC of p should decrease */  
9 }
```

- etc. any point pointer variables get copied / become no longer used

GC will be covered more deeply in later weeks